

**Pembina Prairie Facilities Ltd.**  
**Commitments Tracking Table for the Vantage Pipeline - Ongoing Commitments as of December 23, 2019**

Commitment Number	Condition	Document Reference(s)	Source of Commitment	Accountability	Project Phase	Status	Filings
<b>Commitment No. 1</b>	<b>Compliance</b> Vantage shall comply with all of the conditions in this Certificate unless the Board otherwise directs.	NEB Certificate OC-059 Condition 1	NEB	Pembina	All phases <sup>1</sup>	Ongoing	
<b>Commitment No. 2</b>	<b>Project Design, Construction, Installation &amp; Operation</b> Vantage shall cause the approved Project to be designed, located, constructed, installed and operated in accordance with the specifications, standards and other information referred to in its application or as otherwise agreed to during questioning or in its related submissions.	NEB Certificate OC-059 Condition 2	NEB	Pembina	All phases	Complete for design, construction & installation  Ongoing for life of asset related to Operations	On February 28, 2014 LPFN filed an Application for declaration of non-compliance with Certificate OC-059 Conditions 2 and 50. It also stated that Vantage cannot confirm that the Project was completed and constructed in compliance due to this outstanding matter (NEB Filing ID <a href="#">A59088</a> ). On April 10, 2014 the NEB filed a Correspondence to Vantage requesting that Vantage provide comments regarding the declaration of non-compliance request from LPFN (NEB Filing ID <a href="#">A59640</a> ). On April 17, 2014 Vantage filed a Correspondence to the NEB providing comments on the alleged non-compliance. There are only 48 Certificate Conditions, not 50. Vantage made reasonable efforts to engage LPFN and requested the NEB respectfully dismiss their application (NEB Filing ID <a href="#">A59724</a> ). On April 24, 2014 LPFN filed a Correspondence to the NEB stating that the reference to Certificate OC-059 Condition 50 was made in error, in LPFN's opinion (NEB Filing ID <a href="#">A59794</a> ). On June 27, 2014 the NEB filed a Correspondence confirming that Vantage had demonstrated fulfillment of its commitment. NEB dismissed LPFN's Application (NEB Filing ID <a href="#">A61379</a> ).

<sup>1</sup> Project phases include, but are not limited to, Planning and Pre-application, Public Hearing, Pre-construction, Construction and Post Construction, Operations and Maintenance and Abandonment. "All phases" includes all of the phases in the foregoing list.

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							<p>On August 31, 2017, Pembina filed its Operational Transfer Notification with the NEB. Vantage Pipeline operations will be transferred from NOVA Chemicals Corporation to Pembina on November 1, 2017. Once the operational transition is completed, Pembina will notify the NEB in writing (NEB Filing ID <a href="#">A85775</a>).</p> <p>On November 10, 2017, Pembina filed its confirmation that the operational transition to Pembina was completed on November 1, 2017 (NEB Filing ID <a href="#">A87713</a>).</p>
<b>Commitment No. 3</b>	<b>Environment</b> Vantage shall implement or cause to be implemented all of the policies, practices, programs, mitigation measures, recommendations and procedures for the protection of the environment included in or referred to in its application or as otherwise agreed to during questioning or in its related submissions.	NEB Certificate OC-059 Condition 3, Transcript 2375	NEB	Pembina	All phases	Complete for design, construction & installation  Ongoing for life of asset related to Operations	
<b>Commitment No. 4</b>	<b>Landowner Complaint Tracking</b> From commencement of construction through to abandonment, Vantage shall, for audit purposes, create and maintain records that chronologically track landowner complaints related to the Project. The landowner complaint records shall include: (a) the date the complaint was received;	NEB Certificate OC-059 Condition 4	NEB	Pembina	All phases	Complete for construction & installation  Records of any landowner complaints through life of Project will be maintained by Pembina.	On April 26, 2012 a finalized settlement agreement was reached between Vantage and the Tioga to Empress Landowner Committee (NEB Filing ID <a href="#">A41058</a> ).

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	(b) how the complaint was received (for example, telephone, mail, email); (c) subsequent date and summary of all telephone calls, visits, correspondence, site monitoring/inspections, follow up reports and other related documentation; (d) contact information for all persons involved in the complaint; (e) a detailed description of the complaint; (f) the date and a description of resolution of the complaint; and (g) If the complaint was not resolved, the further action(s) to be taken to resolve it (if any).						
<b>Commitment No. 26</b>	<b>Commitments Tracking Table</b> Vantage shall: (a) file with the Board and post on its Company website, at least 30 days prior to the commencement of construction, a Commitments Tracking Table listing all commitments made by Vantage in its application,	NEB Certificate OC-059 Condition 26	NEB	Pembina	All phases	Ongoing	On August 3, 2012 Vantage filed a Commitments Tracking Table to fulfill Condition #26(a). Vantage posted the table on its website and with the NEB (NEB Filing ID <a href="#">A43289</a> ). On August 17, 2014 Vantage filed a Correspondence with the NEB providing comments on a non-compliance alleged by LPFN. There were only 48 Certificate Conditions, not 50. Vantage made reasonable efforts to engage LPFN and requested the NEB respectfully dismiss their application (NEB Filing ID <a href="#">A59724</a> ). On June 27, 2014 the NEB filed a Correspondence confirming that Vantage had demonstrated fulfillment of its

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	<p>during questioning, in its related submissions, or during the OH-3-2011 proceeding in relation to the Project, including reference to:</p> <p>i) the documentation where the commitment is referred to (for example, the application, responses to information requests, hearing questions, permit requirements, condition filings, or other),</p> <p>ii) the accountability for implementing each commitment, and</p> <p>iii) the timelines associated with the fulfillment of each commitment;</p> <p>(b) update the status of the commitments in (a) on Vantage's website on a:</p> <p>i) monthly basis until the commencement of operation,</p> <p>ii) quarterly basis until the end of the fifth (5th) year following the commencement of operation, and</p> <p>iii) yearly basis until the end of the tenth (10th) year following the</p>						<p>commitment. NEB dismissed LPFN's Application (NEB Filing ID <a href="#">A61379</a>).</p> <p>On July 16, 2015 Pembina filed correspondence requesting that this condition be varied to close out conditions Vantage was responsible for (NEB Filing ID <a href="#">A70706</a>).</p> <p>On October 19, 2015 the NEB denied Pembina's request. Pembina is responsible for all commitments made by Vantage (NEB Filing ID <a href="#">A73282</a>).</p> <p>On December 4, 2015 Pembina filed a Commitments Tracking Table to fulfill Condition #26(a). Pembina posted the table on its website and with the NEB (NEB Filing ID <a href="#">A74498</a>).</p> <p>On March 31, 2016 Pembina filed a Commitments Tracking Table to fulfill Condition #26(a). Pembina posted the table on its website and with the NEB (NEB Filing ID <a href="#">A76146</a>).</p> <p>On June 27, 2016 Pembina will filed a Commitments Tracking Table update to fulfill Condition #26(a). Pembina posted the table on its website and with the NEB (NEB Filing ID <a href="#">A78100</a>).</p> <p>On September 27, 2016 Pembina filed a Commitments Tracking Table update to fulfill Condition #26(a). Pembina posted the table on its website and with the NEB (NEB Filing ID <a href="#">A79616</a>).</p> <p>On December 15, 2016 Pembina filed a Commitments Tracking Table update to fulfill Condition #26(a). Pembina posted the table on its website and with the NEB (NEB Filing ID <a href="#">A81037</a>).</p> <p>On March 21, 2017 Pembina filed a Commitments Tracking Table update to fulfill Condition #26(a). Pembina posted the table on its website and with the NEB (NEB Filing ID <a href="#">A82171</a>).</p> <p>On June 21, 2017 Pembina filed a Commitments Tracking Table update to fulfill Condition #26(a). Pembina posted the table on its website and with the NEB (NEB Filing ID <a href="#">A84529</a>).</p> <p>On September 29, 2017 Pembina filed a Commitments Tracking Table update to fulfill Condition #26(a). Pembina</p>

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	<p>commencement of operation, and advise the Board in writing of such updates where the status has changed; and</p> <p>(c) maintain at its construction office(s):</p> <p>i) the relevant environmental portion(s) of the Commitments Tracking Table listing all regulatory commitments including, but not limited to, those commitments resulting from Vantage’s application and subsequent filings and conditions from permits, authorizations and approvals,</p> <p>ii) copies of any permits, approvals or authorization for the Project issued by federal, provincial or other permitting authorities, which include environmental conditions or site-specific mitigation or monitoring measures, and</p> <p>iii) any subsequent variances to any permits, approvals or authorizations in (ii).</p>						<p>posted the table on its website and with the NEB (NEB Filing ID <a href="#">A86364</a>).</p> <p>On December 15, 2017 Pembina filed a Commitments Tracking Table update to fulfill Condition #26(a). Pembina posted the table on its website and with the NEB (NEB Filing ID <a href="#">A88651</a>).</p> <p>On March 29, 2018 Pembina filed a Commitments Tracking Table update to fulfill Condition #26(a). Pembina posted the table on its website and with the NEB (NEB Filing ID <a href="#">A90952</a>).</p> <p>On June 29, 2018 Pembina filed a Commitments Tracking Table update to fulfill Condition #26(a). Pembina posted the table on its website and with the NEB (NEB Filing ID <a href="#">A92766</a>).</p> <p>On September 28, 2018 Pembina filed a Commitments Tracking Table update to fulfill Condition #26(a). Pembina posted the table on its website and with the NEB (NEB Filing ID <a href="#">A94219</a>).</p> <p>On December 20, 2018 Pembina filed a Commitments Tracking Table update to fulfill Condition #26(a). Pembina posted the table on its website and with the NEB (NEB Filing ID <a href="#">A96850</a>).</p> <p>On December 23, 2019 Pembina filed a Commitments Tracking Table update with the Canada Energy Regulator for Condition 26(a) (CER Filing ID <a href="#">C03876</a>). Pembina posted the table on its website.</p>

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<b>Commitment No. 46</b>	<p><b>Post-Construction Environmental Monitoring Report</b></p> <p>On or before the 31 of January of each of the first (1st), third (3rd), fifth (5th) and tenth (10th) years following the commencement of operation, Vantage shall file with the Board, and make available on its website for informational purposes, a post-construction environmental monitoring report that:</p> <ul style="list-style-type: none"> <li>(a) identifies any modifications from the monitoring protocols or methodology described in its EPP or Wildlife Protection Plan, as approved by the Board;</li> <li>(b) describes the criteria established for evaluating the effectiveness of the environmental mitigation measures;</li> <li>(c) evaluates the effectiveness of the environmental mitigation measures against the criteria referred to in (b);</li> <li>(d) identifies deviations from plans and alternate mitigation applied as approved by the Board;</li> </ul>	<p>NEB Certificate OC-059 Condition 46</p>	<p>NEB</p>	<p>Pembina</p>	<p>All phases</p>	<p>Complete for Years 1, 3 &amp; 5</p> <p>Ongoing for tenth year</p>	<p>On January 30, 2015 Pembina filed the first year Post-Construction Environmental Monitoring Report (NEB Filing ID <a href="#">A65616</a>).</p> <p>On January 24, 2017 Pembina filed the third year Post-Construction Environmental Monitoring Report (NEB Filing ID <a href="#">A81435</a>).</p> <p>On January 10, 2019 Pembina filed the fifth year Post-Construction Environmental Monitoring Report (NEB Filing ID <a href="#">A97180</a>).</p>

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	<p>(e) identifies locations on a map or diagram where corrective action was taken during construction or operation and the current status of corrective actions; and</p> <p>(f) provides proposed measures and timelines Vantage will implement to address any unresolved environmental issues.</p> <p>The report shall address, but not be limited to, the issues pertaining to soils, agricultural production, weeds, watercourse crossings, wetlands, rare plants and wildlife including species of management concern.</p>						
<p><b>Commitment No. 47</b></p>	<p><b>Native Prairie Monitoring Report</b></p> <p>On or before the 31 of January of each of the first (1st), third (3rd), fifth (5th) and tenth (10th) years following the commencement of operation, and on other scheduled dates as set out in the Native Prairie Protection Plan, Vantage shall file with the Board a post-construction Native Prairie Monitoring Report that:</p> <p>(a) identifies on a map or diagram the location(s) of the monitoring sites for</p>	<p>NEB Certificate OC-059 Condition 47</p>	<p>NEB</p>	<p>Pembina</p>	<p>All phases</p>	<p>Complete for Years 1, 3 &amp; 5</p> <p>Ongoing for tenth year</p>	<p>On January 20, 2015 Pembina filed the first year Native Prairie Monitoring Report (NEB Filing ID <a href="#">A65616</a>).</p> <p>On January 24, 2017 Pembina filed the third year Native Prairie Monitoring Report (NEB Filing ID <a href="#">A81435</a>).</p> <p>On January 10, 2019 Pembina filed the fifth year Native Prairie Monitoring Report (NEB Filing ID <a href="#">A97180</a>).</p>

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	<p>native prairie protection and reclamation;</p> <p>(b) provides a discussion of the scientific methodology applied;</p> <p>(c) provides the criteria to be used to verify the accuracy of the environmental assessment predictions;</p> <p>(d) evaluates the effectiveness of the mitigation applied pre-, during and post-construction;</p> <p>(e) evaluates the impacts of traffic on native prairie reclamation using the construction traffic logs as reference;</p> <p>(f) identifies the current status of the issues identified and whether those issues are resolved or unresolved; and</p> <p>(g) provides proposed measures and timelines Vantage shall implement to address any unresolved concerns.</p>						



## Defined Terms and Interpretation

In this Commitments Tracking Table:

- 1) "Assiniboia" means the Assiniboia Pump Station located at 16-10-007-30 W2M.
- 2) "Empress" means the Empress Pump Station located at 14-02-020-01 W4M.
- 3) "EPP" means an Environmental Protection Program.
- 4) "LPFN" means the Little Pine First Nation.
- 5) "LTO" means Leave to Open.
- 6) "NEB Act" means the *National Energy Board Act*, as amended from time to time, and available at: <http://laws-lois.justice.gc.ca/PDF/N-7.pdf>
- 7) "NEB Filing Manual" means the *National Energy Board Filing Manual*, available at: <http://www.neb-one.gc.ca/bts/ctr/gnnb/flngmnl/flngmnl-eng.pdf>
- 8) "NOVA" means NOVA Chemicals Corporation, contract operator of the Vantage Pipeline.
- 1) "OPR" means the *National Energy Board Onshore Pipeline Regulations*, as amended from time to time, and available at: <http://laws-lois.justice.gc.ca/eng/regulations/SOR-99-294/FullText.html>
- 9) "Pembina" means Pembina Prairie Facilities Ltd., an indirectly wholly-owned subsidiary of Pembina Pipeline Corporation and owner of the Vantage Pipeline.
- 10) "Vantage" means Vantage Pipeline Canada ULC, predecessor in interest to Pembina Prairie Facilities Ltd.
- 11) "Vantage Pipeline" means the pipeline that is the subject of Certificate of Public Convenience and Necessity OC-059 which authorized the construction and operation of the Vantage Pipeline and associated facilities. The Vantage Pipeline is approximately 578 km in length in Canada, of which 4.5 km is located in Alberta and the remainder is located in Saskatchewan. The Vantage Pipeline enters Canada at a Canada-U.S. border location near Beaubier, Saskatchewan at SE 1-01-16W2 and flows westward to connect with the Alberta Ethane Gathering System (AEGS) located near Empress, Alberta at SE 11-020-01W4.